



#4
11 Jun 2002
P.T.O.

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

JC879 U.S. PTO
10/05/15 10
01/15/02

Docket No. : 47670/PAN/C715/CLO160
Inventor(s) : John Wasserbauer and Jeffrey W. Scott
Title : MIRROR STRUCTURE FOR REDUCING THE EFFECT OF FEEDBACK ON A VCSEL
Express Mail Label No. : EL904792446US

ADDRESS TO: Assistant Commissioner for Patents

Box Patent Application
Washington, D.C. 20231

Date: January 15, 2002

1. **FEE TRANSMITTAL FORM** (*Submit an original, and a duplicate for fee processing*).
2. **IF A CONTINUING APPLICATION**

This application is a continuation of patent application No. .

Prior application information: Examiner ; Group Art Unit:

This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No. 60/262,261.

3. APPLICATION COMPRISSED OF

Specification

36 Specification, claims and Abstract (total pages)

Drawings

16 Sheets of formal drawing(s) (FIGS. 1 to 24)

Declaration and Power of Attorney

Newly executed

Unexecuted declaration

Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
Fee Code	Value Furnished

4. **Microfiche Computer Program (Appendix)**

5. **Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)**
 - Computer Readable Copy
 - Paper Copy (identical to computer copy)
 - Statement verifying identity of above copies

6. APPLICANT(S) STATUS UNDER 37 CFR § 1.27

Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 47670/PAN/C715/CLO160

7. ALSO ENCLOSED ARE

- Preliminary Amendment
- Includes "Cross-Reference to Related Applications"
- A Petition for Extension of Time for the parent application and the required fee are enclosed
- An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- This application is owned by pursuant to an Assignment recorded at Reel , Frame
- Information Disclosure Statement (IDS)/PTO-1449
 - Copies of IDS Citations
 - Certified copy of Priority Document(s) (*if foreign priority is claimed*)
 - English Translation Document (*if applicable*)
- Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- Other: Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Peter

Peter A. Nichols
Reg. No. 47,822
626/795-9900

PAN/cks

01/15/02
PTO
S-1549

01-12-02

A

C879
10/05/1510 PTO10/05/1510
201/15/02

FEE TRANSMITTAL UTILITY PATENT APPLICATION

DATE: January 15, 2002

Docket No. : 47670/PAN/C715/CLO160

Inventor(s) : John Wasserbauer and Jeffrey W. Scott

Title : MIRROR STRUCTURE FOR REDUCING THE EFFECT OF FEEDBACK
ON A VCSELDuplicate

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	25 - 20	5	5 x \$9.00	x \$18.00	\$45.00
INDEPENDENT CLAIMS	3 - 3	0	0 x \$42.00	x \$84.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$370.00	\$740.00	\$370.00
				TOTAL FILING FEE	\$415.00

List Independent Claims: 1, 20 and 25

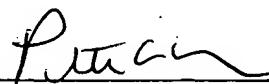
METHOD OF PAYMENT

 Payment Enclosed: Check for \$415.00 The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. A **duplicate copy of this sheet is enclosed**.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


Peter A. Nichols
Reg. No. 47,822
626/795-9900

PAN/cks

LLK PAS408296.1-* 1/15/02 6:46 PM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL904792446US

Applicant : John Wasserbauer et al.
Filed : Filed Herewith
Title : MIRROR STRUCTURE FOR REDUCING THE EFFECT
OF FEEDBACK ON A VCSEL
Docket No. : 47670/PAN/C715

REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
January 15, 2002

Commissioner:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
Peter A. Nichols
Reg. No. 47,822
626/795-9900